



UNIVERSITY OF ALBERTA

UNIVERSITY GOVERNANCE

Board of Governors Open Session Minutes

Friday, March 14, 2014
2-31 South Academic Building (SAB)
8:00 AM - 12:00 PM

ATTENDEES:

Voting Members:

Douglas O. Goss	Chair, General Public
Dick Wilson	Vice-Chair, General Public
Mike Belosevic	Member, General Faculties Council
Brent Epperson	Member, Graduate Students' Association
Don Fleming	Member, Alumni
Jane Halford	Member, Alumni
Shenaz Jeraj	Member, General Public
Brent Kelly	Member, Students' Union
Petros Kusmu	Member, Students' Union
Steven LePoole	Member, General Public
Don Matthew	Member, General Public
Christopher Pu	Member, Non-Academic Staff
Wayne Renke	Member, Academic Staff (AAS:UA)
Bernd Michael Reuscher	Member, Senate
Indira Samarasekera	Member, President
Nizar Somji	Member, Public
Robert H. Teskey	Member, General Public
Ralph Young	Member, Chancellor

Non-Voting Officials Appointed by the President:

Carl Amrhein	Provost and Vice-President (Academic)
Lorne Babiuk	Vice-President (Research)
Phyllis Clark	Vice-President (Finance and Administration)
Marion Haggarty-France	University Secretary
Brad Hamdon	General Counsel
Don Hickey	Vice-President (Facilities and Operations)
Deborah Holloway	Secretary
O'Neil Outar	Vice-President (Advancement)
Debra Pozega Osburn	Vice-President (University Relations)

Regrets:

James Heelan	Member, General Public
Marcia Lang	Senior Strategic Advisor to the President

Presenter(s):

Douglas O. Goss	Chair, Board of Governors
Ralph Young	Chancellor, Senate
Indira Samarasekera	President and Vice-Chancellor, Office of the President
Mike Belosevic	Member, Board of Governors
Brent Epperson	President, Graduate Students' Association

Don Fleming	Member, Board of Governors
Shenaz Jeraj	Member, Board of Governors
Bob Kamp	Chair, Board Investment Committee
Steven LePoole	Member, Board of Governors
Don Matthew	Member, Board of Governors
Christopher Pu	Member, Board of Governors
Wayne Renke	Member, Board of Governors
Robert H. Teskey	Member, Board of Governors
Dick Wilson	Member, Board of Governors
Carl Amrhein	Provost and Vice-President (Academic), Office of the Provost and Vice-President (Academic)
Phyllis Clark	Vice-President (Finance and Administration), Office of the Vice-President (Finance and Administration)
Don Hickey	Vice-President (Facilities and Operations), Office of the Vice-President (Facilities and Operations)
Debra Pozega Osburn	Vice-President (University Relations), Office of the Vice-President (University Relations)
Marion Haggarty-France	University Secretary, University Governance
Thomas Thundat	Department of Chemical & Materials Engineering
Lisa Collins	Vice-Provost and University Registrar, Office of the Registrar
Andrea Hare	University Records Officer, Records Management Office
Mazi Shirvani	Vice-Provost and Dean, Graduate Studies and Research

Staff:

Deborah Holloway, Board Secretary and Manager of Board Services
Geeta Sehgal, Acting Assistant Board Secretary

OPENING SESSION

1. Chair's Session

Presenter(s): Douglas O. Goss

2. Call to Order and Confirmation of Quorum

Ms Holloway confirmed that the attendance constituted quorum. The Chair called the meeting to order at 8:00 a.m.

3. Approval of Open Session Agenda

Materials before members are contained in the official meeting file

The motion was moved and seconded.

THAT the Board of Governors approve the Open Session Agenda.

Carried

4. Conflict of Interest - Opportunity for Declaration

The Chair made note of the following:

- The University of Alberta has policy and procedure in place for Conflict of Interest and Conflict of Commitment, and Board members make annual disclosures.
- In addition, Board members must declare any conflict related to the Board Agenda of each meeting and, in the event of such conflict, absent themselves from the discussion and voting on the item.

• The Post-Secondary Learning Act, Section 16(5) states that: The members of the board must act in the best interests of the university. The Chair invited members to declare conflicts of interest related to the Open Session Agenda of the meeting.

The Chair observed no declarations and declared that the question was duly asked and answered.

5. Chair's Remarks

Presenter(s): Douglas O. Goss

The Chair welcomed guests and members of the media who were in attendance, noting that observers were welcome. He noted that the room was at capacity per the fire code. The Chair reminded members that the President is the spokesperson for the University and he is the spokesperson for the Board of Governors.

The Chair noted that this would be the final meeting of the Board of Governors for Brent Epperson, President, Graduate Students' Association, Petros Kusmu, President, Students' Union, and Brent Kelly who served for two terms as Undergraduate Board of Governors Representative. He asked that members join him in thanking them for their service and for their valuable contributions to the Board over the past year.

The Chair welcomed to the Board Nathan Andrews, incoming GSA President, William Lau, incoming SU President, and Sangram Hansra, incoming Undergraduate Board Representative and congratulated them on their elections.

The Chair also reminded members who had not yet completed the Board Evaluation that the deadline was the end of the day.

6. Presentation by Dr Thomas Thundat, Canada Excellence Research Chair in Oil Sands Molecular Engineering

Presenter(s): Thomas Thundat

The Board received a presentation from Dr. Thomas Thundat, the CERC Chair in Oil Sands Molecular Engineering, entitled "Imagine a World Without Electrical Wires and Outlets" that focussed on the notion of single wire transmission.

7. Report of the President

Materials before members are contained in the official meeting file.

Presenter(s): Indira Samarasekera

In addition to her written report, the President provided comments regarding the following matters:

Highlights from the recent Speech from the Throne:

- A commitment to invest in higher education, and finding innovative new ways to ensure Albertans have the opportunities to acquire the skills and knowledge they require;
- Expansion of the Alberta Heritage Scholarship Fund to support students to build the workforce;
- Funding for the creation of the Peter Lougheed Leadership Initiative, working with the U of A, and the Banff Centre;
- Creating the Alberta Institute for Research and Commercialization; and
- Bill 1, which includes the establishment of two new endowment funds: the Social Innovation Endowment (\$1billion over two years) and the Agriculture and Food Innovation Endowment (\$200 million).
- Budget 2014 contains a number of provisions for higher education, including: continued support for advanced education; improved access for students via scholarships and assisting entrepreneurs and innovators; an unfreezing of the Access to the Future Fund; \$2.1 billion in operating grants for post-secondary institutions, in

addition to the Access to the Future Fund, amounting to \$106 million more than projected at this time last year; and Innovation and Advanced Education will receive \$2.8 billion in 2014-15—a five per cent increase from the year prior.

Highlights from the Federal Budget:

- \$1.5 billion, committed over ten years, will create the Canada First Research Excellence Fund.
- \$1.6 billion over five years in new support for research and innovation.
- Additional \$46 million to the granting councils in support of advanced research and scientific discoveries, including the indirect costs of research.

3M National Teaching Fellowship

- Jonathan White, Professor of Surgery, was named a 2014 3M National Teaching Fellow—the 41st U of A professor to receive this prestigious honour, adding to the University's Canada leading total of 3M Teaching Fellows.

Highlights from the University's second annual Pride Week

U of A Pandas Curling Team

- The team won the World Junior Curling Championships last week in Flims, Switzerland. This is the first time in 21 years that a Canadian team has won the women's junior World Championship, but the second time in three years that a U of A team has the World Junior Championship (our Junior Men's team won in 2012).

NSERC CREATE Award

- Heather Bruce, a researcher of meat safety and meat quality, from the Faculty of Agriculture, Life and Environmental Sciences, was awarded more than \$1.5 Million over six years from NSERC.

Special Announcement

Following her remarks, the President announced that she would not seek a third term as President and would complete her second term on June 30, 2015. She reflected on her tenure and assured the Board that she would remain firmly focused on leading the University of Alberta on its path to becoming a top global public university. She extended her gratitude to the Board of Governors for their support and commitment to excellence.

The Chair extended the Board's appreciation for the President's many significant contributions and successes, noting her commitment to and passion for excellence. He announced that the search for her successor would be launched the following week.

8. President's Report on Progress Against Goals set at Board of Governors Strategic Retreat - Change Agenda (no documents)

Presenter(s): Indira Samarasekera

The President provided an update regarding progress against the Change Agenda. She outlined the President's Action Plan, in four areas of focus: Academic Transformation; Sustainable and Flexible Financial Models; Effective, Efficient Administration; and Culture Change.

1. Academic Transformation

- Presidential Visiting Committees
- GFC Task Force
- Enriching Graduate Education and Experience
- External hiring of department chairs- Establishment of mandatory chairs and Deans School
- Approval of Deans performance management by BHRCC
- International Agenda

2. Sustainable and Flexible Financial Models.

- The provincial budget – no increase or decrease in the Campus Alberta grant

-Federal Budget - Last month, the Canadian government released the federal budget. It represents a visionary step forward for research excellence and innovation for Canada's universities.

- Advancement
- Research - Strategy to become top 5 in Tri-Council funding.

3. Effective, efficient Administration

- Process improvements in the Research Services Office.
- Norquest College, with the support of the Government of Alberta, is contracting the services of the Project Planning & Delivery unit within Facilities and Operations, to oversee their \$191.5 million dollar capital project – the North Learning Centre.

4. Culture Change

- President's weekly bulletins
- Regular updates on Colloquy

The President responded to questions and comments from members regarding the following matters: whether the Presidential Visiting Committees would examine graduate student funding, support and recruitment; whether the Faculty's strategic visions are aligned with the Change Agenda; whether Presidential Visiting would replace Unit Reviews and the expected frequency of the visits; and a suggestion that AASUA would be helpful in providing instruction regarding collective agreements for those attending Deans and Chair School.

9. Approval of the Consent Agenda

Materials before members are contained in the official meeting file.

The Chair noted that he would call for a seconded motion to accept all items on the Consent Agenda (items 9a through 9o). He noted that he would ask Mr Kusmu to provide verbal remarks related item 9j.

The Chair asked if any member wished to remove an item from the Consent Agenda so that it may be the subject of discussion. Mr Kusmu requested the removal of items 9d and 9g.

The Chair called for approval of the amended Consent Agenda.

The motion was moved and seconded.

THAT the Board of Governors approve the Open Session Consent Agenda, as amended.

Carried

9a. Approval of the Open Session Minutes of December 13, 2013

Presenter(s): Douglas O. Goss

Information Reports

9b. Report of the Audit Committee

Presenter(s): Don Matthew

9c. Report of the Finance and Property Committee

Presenter(s): Dick Wilson

9d. Report of the Human Resources and Compensation Committee - item removed from the Consent Agenda

Presenter(s): Robert H. Teskey

9e. Report of the Investment Committee

Presenter(s): Bob Kamp

9f. Report of the Learning and Discovery Committee

Presenter(s): Shenaz Jeraj

9g. Report of the University Relations Committee -item removed from the Consent Agenda

Presenter(s): Don Fleming

Regular Reports

9h. Chancellor's Report

Presenter(s): Ralph Young

9i. Alumni Association

Presenter(s): Don Fleming

9j. Students' Union (including financial statements)

Presenter(s): Petros Kusmu

9k. Graduate Students' Association

Presenter(s): Brent Epperson

9l. Association of Academic Staff

Presenter(s): Wayne Renke

9m. Non-Academic Staff Association

Presenter(s): Christopher Pu

9n. General Faculties Council

Presenter(s): Mike Belosevic

9o. Board Chair and Board Members Activity Report

Presenter(s): Douglas O. Goss

Regarding Item 9d removed from the Consent Agenda, Mr Kusmu asked for details regarding the priorities arising from the Renaissance Committee report. The Chair ruled that the requested information is not publically available.

Regarding Item 9g removed from the Consent Agenda, Mr Kusmu asked whether the composition of the Advancement Board Committee would include students. The President reported that the Academic Advisory Group to Advancement was the more appropriate body for student engagement in this regard, adding that members of the Advancement Board Committee would have access to sensitive information regarding potential donors and that donations would be requested from all members.

Regarding Item 9j, the Chair invited Mr Kusmu to present verbal remarks. Mr Kusmu addressed the following matters: newly elected student representatives to the Board; the Students' Union election process; collaboration

with administration regarding the Peter Lougheed Leadership Initiative; Fall Reading Week; the Provincial budget and its impact; the creation of an International Students' Association; the suspension of the Lister Hall Students' Association related to a hazing incident; federal advocacy efforts; and General Faculties Council reform. He also noted that Board members should consider the courage required by student representatives to speak to matters before the Board.

The Chair acknowledged Mr Bob Kamp, outgoing Chair of the Board Investment Committee, and thanked him for his service and commitment.

INFORMATION REPORTS

10. Report of the Board Learning and Discovery Committee

Presenter(s): Shenaz Jeraj

10a. Optimizing Student Financial Support and Student Service at the University of Alberta

Materials before members are contained in the official meeting file.

Presenter(s): Carl Amrhein; Lisa Collins; Mazi Shirvani

Purpose of the Proposal: To present a report for information to the Board of Governors on Optimizing Student Financial Support and Student Service at the University of Alberta. The report documents the findings of a working group convened by Acting Provost Dr Martin Ferguson-Pell comprised of vice-provosts holding responsibility for service provision in the area of merit- and/or need-based student financial support.

Discussion:

The Chair noted that the report occurred as a result of the December 13, 2013 Board of Governors debate on the increase to the international differential fee. The President promised that administration would return with a plan regarding accessing support – assurance that students will be fully informed and that a fair, transparent and efficient program will be in place before September. On behalf of the Board, he extended thanks to the working group for their efforts.

The presenters noted that a detailed discussion regarding the report had occurred at the Board Learning and Discovery Committee meeting, outlined the recommended changes, and the list of projects to be addressed in the future. Consultation with student groups was highlighted. The importance of students' ability to navigate and access services was stressed.

Mr Kusmu reported that concerns he had previously expressed would be addressed with the Vice-Provosts going forward.

The presenters responded to questions and comments from members regarding the following matters: whether excess funding reported in the Students' Union financial statements are an indication that students are unaware of how to access the funding; and acknowledgement of the *Student Connect* online site and its utility as a one-stop-shop.

11. Report of the Board Safety, Health and Environment Committee

11a. Report of the Board Safety, Health and Environment Committee

Material before members is contained in the official meeting file.

Presenter(s): Steven LePoole

Mr LePoole reported that the Committee will be more sharply focussed on implementing steps, using existing tools, to prevent lab accidents by enforcing policy on personal protective equipment. The Committee has made the following recommendations:

1. Drive responsibility for adherence to policy from the top down, through the Deans, and begin a ninety-day implement period with increased auditing by Risk Management Services.
2. Introduce "Safety Moments" at alternate Board meetings.
3. Ask the President to include a health and safety element in the President's Report to the Board.

ACTION ITEMS

12. Report of the Board Audit Committee

Presenter(s): Don Matthew

12a. Proposed University Records Management Policy

Materials before members are contained in the official meeting file.

Presenter(s): Phyllis Clark, Andrea Hare

Purpose of the Proposal: To recommend, to the Board of Governors, approval of a University Records Management (RM) policy.

Discussion:

Mr Matthew presented the item, noting the importance of records management, particularly the records that each student leaves that support their degree.

The motion was moved and seconded.

THAT the Board of Governors, on the recommendation of the Board Audit Committee, approve the proposed University Records Management Policy, as set forth in attachment 2.

Carried

13. Joint Report of the Board Finance and Property Committee and the Board Learning and Discovery Committee

Presenter(s): Dick Wilson; Shenaz Jeraj

13a. University of Alberta's Comprehensive Institutional Plan (CIP) (2014)

Materials before members are contained in the official meeting file

Presenter(s): Carl Amrhein; Debra Pozega Osburn

Purpose of the Proposal: Under guidelines from Innovation and Advanced Education, the University of Alberta has prepared the Comprehensive Institutional Plan (CIP) that incorporates the university's access plan, research plan, capital plan, and budgets into one comprehensive document. The CIP is for approval by the Board of Governors and is then filed with the Minister of Innovation and Advanced Education.

The CIP is written in support of the university's vision and mission as outlined in *Dare to Discover* and its Academic Plan, *Dare to Deliver*. The CIP outlines the university's academic and research priorities as articulated in the Academic Chapter, which in turn drives the university's capital and resource allocation priorities.

Discussion:

Mr Wilson presented the item, commending the Administration for the quality of the document.

The presenters responded to questions and comments from members regarding the following matters: clarity regarding whether the budget reflects under-spending; that if revenue assumptions are to include increases in non-regulated tuition, services and their enhancements must keep pace; a request that changes resulting from input during consultations and from Standing Committees be red-lined in future; and which two or three issues will be monitored related to achieving the goals of the Change Agenda.

The motion was moved and seconded.

THAT the Board of Governors, on the recommendation of the General Faculties Council Academic Planning Committee, the Board Learning and Discovery Committee, and the Board Finance and Property Committee, approve the 2014 *University of Alberta Comprehensive Institutional Plan (CIP)*, as set forth in Attachment 1, and empower administration to make any editorial changes to the CIP, as needed, as long as the changes do not have the force of policy.

Mr Kusmu abstained

Carried

14. Report of the Board Finance and Property Committee

Presenter(s): Dick Wilson

14a. Proposed Changes to Existing Mandatory Student Instructional Support Fees, Proposed New Mandatory Student Instructional Support Fees, and Proposed New Non-Instructional Fees

Materials before members are contained in the official meeting file.

Presenter(s): Carl Amrhein; Phyllis Clark

Purpose of the Proposal: To establish new Mandatory Student Instructional Support Fees, to change certain existing Mandatory Student Instructional Support fees, and to change the existing Undergraduate Application Fee for New Students.

Discussion:

Mr Wilson presented the item.

Mr Kusmu noted that a thorough discussion of the item at the recent meeting of the General Faculties Council's Academic Planning Committee had occurred and that concerns regarding the proposal from Earth and Atmospheric Sciences amounted to the equivalent of one year's tuition. The Provost and Registrar committed to reporting on this increase next year to be sure that the increase falls within the true cost of running the program.

The motion was moved and seconded.

THAT the Board of Governors, on the recommendation of the Board Finance and Property Committee, approve the proposed changes to existing Mandatory Student Instructional Support Fees (set forth in Attachment 1), proposed new Mandatory Student Instructional Support Fees (set forth in Attachment 2), and a proposed change to the existing Undergraduate Application Fee for New Students (set forth in Attachment 3) as submitted on behalf of the relevant Faculties/Departments by the Registrar's Advisory Committee on Fees (RACF), to take effect as noted in each respective attachment.

Mr Kusmu abstained

Carried

14b. North Campus Sectors Plan - Long Range Development Plan Amendment

Materials before members are contained in the official meeting file.

Presenter(s): Debra Pozega Osburn, Don Hickey

Purpose of the Proposal: Concluding over four years of campus planning activities and in consultation with neighbouring communities consistent with Appendix XVIII: University of Alberta Consultation Protocol, the purpose is to amend the University's Long Range Development Plan (LRDP) and, more specifically, Sectors 1 to 11 located at the University's North Campus.

Discussion:

Dr Pozega Osburn summarized the consultation process as outlined in the Appendix and reported that all key issues had been addressed. The proposal is consistent with the requirements of the Post-Secondary Learning Act. Mr Hickey outlined changes from the 2002 documented and highlighted changes that would occur in future. He highlighted that open spaces had been clearly identified as a livable campus is a priority.

The presenters responded to questions and comments from members regarding the following matters: who owns the land upon which the Jubilee Parkade is situated; and the level of engagement among the neighbouring communities.

The motion was moved and seconded.

THAT the Board of Governors, on the recommendation of the Board Finance Property Committee, approve the proposed *Appendix XX: North Campus Long Range Development Plan Amendment 2014*, as set forth in Attachment 2, as the basis for further planning; and recommend that the Board of Governors approve the concurrent rescission of 'Section 6.1' of the Long Range Development Plan 2002.

Carried

15. *Report of the Board Human Resources and Compensation Committee*

15a. *Proposed Changes to the Search and Review Procedures for President and the Search Procedure for President (Appendix A): Committee for President Position Definitions and Eligibility (UAPPOL)*

Materials before members are contained in the official meeting file.

Presenter(s): Robert H. Teskey; Marion Haggarty-France

Purpose of the Proposal: Revision is proposed to the Search and Review Procedures for President and the Search Procedure for President (Appendix A): Committee for President Position Definitions and Eligibility in UAPPOL to include a clause to ensure that broad representation is achieved on the Search and Review Committees for President, if the need arises.

Discussion:

Mr Teskey presented the item, noting that the proposed changes address the possibility that there may be an imbalance, in gender for instance, in the Committee composition. The Board Chair and the Chair of the Board Human Resources and Compensation Committee would have the ability to address such an imbalance.

The presenters responded to questions and comments from members regarding the following matters: how this issue arose; and whether similar changes would be proposed for all search/advisory committees.

The motion was moved and seconded.

THAT the Board of Governors, on the recommendation of the Board Human Resources and Compensation Committee, approve the proposed change to the Search and Review Procedures for President and the Search Procedure for President (Appendix A): Committee for President Position Definitions and Eligibility in UAPPOL, as amended, to take effect upon final approval by the Board of Governors.

Carried

15b. Advisory Search Committee for President: Guidelines and Procedures

Materials before members are contained in the official meeting file.

Presenter(s): Robert H. Teskey; Marion Haggarty-France

Purpose of the Proposal: To provide guidelines and procedures for the Advisory Search Committee for President as required by UAPPOL Policy regulations in order that the Committee may operate with an agreed upon set of guidelines and procedures.

Discussion:

Mr Teskey presented the item and the presenters responded to questions and comments from members regarding the following matters: whether a precedent exists for the proposed budget for the search; whether the budget included the costs of the search consultant and advertising; and whether the work of recent Presidential searches at other Canadian universities could be leveraged.

The motion was moved and seconded.

THAT the Board of Governors, on the recommendation of the Board Human Resources and Compensation Committee, approve the Guidelines and Procedures for the Advisory Search Committee for President as set forth in Attachment 1 of the agenda documentation.

Carried

16. Report of the Board Chair

16a. Committee Appointments Update (documents to be distributed prior to the meeting)

Presenter(s): Douglas O. Goss; Marion Haggarty-France

The Board Chair reported that two additional public member appointments are expected shortly. Once those are received, adjustments to Standing Committee compositions would be made.

He noted the importance of this period in the University's history. The President requires support to put the institution in a position to fulfill the Change Agenda. He reminded members that the University is only limited by the Board's creativity and ambitions therefore now is the time to focus on the mission.

REGULAR REPORTS

17. Other Business

Mr Epperson thanked members for the opportunity to serve with them, highlighting the value of healthy, respectful debate, and noting the important work undertaken during the past year.

CLOSING SESSION

18. Conclusion of Open Session

The Chair concluded the Open Session at 10:45 a.m.